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17 JAN. 1996

## TITLE OF INVENTION

Contactless Electronic Module for a Card or Table

## APPLICANT(S) FOR DO/EO/US

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)). - to follow
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). - Unsigned
10.  A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.
  A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:

17.  The following fees are submitted:

CALCULATIONS PTO USE ONLY

## BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1070.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$930.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$790.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$720.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$98.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 930.-

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	- 20 =		x \$22.00	\$
Independent claims	- 3 =		x \$82.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ 270
TOTAL OF ABOVE CALCULATIONS =				\$ 1,330
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). +				\$
SUBTOTAL =				\$ 1,330
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 130
TOTAL NATIONAL FEE =				\$ 1,460
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$
TOTAL FEES ENCLOSED =				\$ 1,460
				Amount to be refunded: \$
				charged: \$

a.  A check in the amount of \$ 1,460.00 to cover the above fees is enclosed.b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2128. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

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Roland Pottel  
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REGISTRATION NUMBER

**VERIFICATION OF TRANSLATION (FRENCH into ENGLISH)**

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**Re: CONTACTLESS ELECTRONIC MODULE FOR A CARD OR LABEL**

I, Bassil Juh-Derote c/o La Maison de la Traduction, 1 avenue Alphand  
75116 PARIS

Hereby declare that I am the translator of the documents attached and certify that the following is a true translation to the best of my knowledge and belief.

Signature of translator :



Dated this 26<sup>th</sup> day of June 1998

CONTACTLESS ELECTRONIC MODULEFOR A CARD OR LABEL

The present invention relates to the field of portable objects such as, in particular, contactless electronic labels and chip cards provided with an electronic module comprising an integrated 5 microcircuit.

The invention also relates to a process for manufacturing such modules and such portable objects.

Portable objects are already known in the form of contactless cards, of ISO format, which are intended 10 to perform various operations such as, for example, payment operations for transport, telephone or other services. These operations are conducted by means of remote coupling between the card's electronic module and a receiver or reader unit. Coupling may be made in 15 reading mode only or in reading/writing mode.

In respect of cards, it is to be noted that the invention does not only concern cards which operate exclusively without contact. It also relates to mixed or hybrid cards which are able to operate in both 20 modes : without and with contact. These mixed cards are intended, for example, for operations of electronic cash dispensing type for which, after being charged with units of value (monetary units, payment units for various services) they are remote debited by a certain 25 number of these units of value when they are passed in the proximity of a reading terminal : this type of debiting assumes contactless operation. If required,

these cards can be re-charged in a purpose-designed dispenser.

For the needs of the present disclosure, and for simplification purposes, contactless cards shall be  
5 construed as meaning both mixed cards and contactless cards.

Also portable items are known in the form of electronic labels, generally used for various identification or follow-up operations. They are made  
10 up firstly of an electronic module with a microcircuit, and secondly of a carrier for this module associated with a coiled antenna operating at relatively low frequency (150 KHz) and of relatively large size in relation to the size of the module.

15 Such as they are currently produced, portable objects in the form of electronic labels comprise antennae having a large number of turns, often over 100, and their size makes their handling difficult, especially during the label production stages when the  
20 antenna is connected by welding to the module's microcircuit.

Similarly, portable objects in the form of contactless cards also have disadvantages. Such as they are currently produced, contactless cards are portable  
25 objects of normalized size. A usual, but in no way restrictive, standard for the present invention is the so-called ISO 7810 standard which corresponds to a card of standard format 85 mm long, 54 mm wide and 0.76 mm thick.

In most known contactless cards, each card comprises a card body made up of an assembly of plastic sheets and of an electronic module, embedded in this assembly, comprising an integrated circuit or 5 microcircuit also called a « chip » connected via two connection terminals to a coiled antenna of self-inductance type. The chip has a memory and may, in some cases, comprise a microprocessor. The size of the electronic module is substantially smaller than the 10 size of the card, the module generally being positioned in one of the corners of the card, since the mechanical stresses exerted on the module through bending of the card are not as high in the corners as in the centre of the card.

15 In some known contactless cards, however, provision is made in the card body for a cavity, and provision is made for a module fitted with a coil connected to an integrated circuit, to enable contactless operation of the card.

20 In this category of contactless cards, an assembly unit is particularly known after DE-A-43 11 493 (AMATECH), for the production of identification units in card format.

According to a first embodiment, a module 21 25 comprises a module carrier 28 on which is fixed an integrated circuit chip 29. A coil 30 surmounts chip 29 in such manner as to confer contactless identification capacity upon the module. This document specifies that the reading distance between the module and the 30 contactless reader is small. Also, to date no chip card using such a module with antenna has apparently been

marketed given the problems of cost and small range which necessarily arise with the described module structure.

Also, it is to be noted that in this document 5 the antenna is in the form of a coiled air antenna inserted over the chip which gives rise to difficulties relating to production, cost, yield and lack of homogeneous performance.

Also, after DE 37 21 822 C1 (PHILIPS) a chip 10 card operating without contact is known, whose design is intended to solve a problem of poor connection between the coil and the integrated circuit. For this purpose, this document describes a chip card without a module, an antenna 4 being fabricated on the 15 semiconductor itself on which an integrated circuit 5 is made. The antenna is made at the same time as the upper tracks of the integrated circuit so that the resulting integrated circuit is 4 x 6 to 6 x 8 mm<sup>2</sup> carrying 20 small turns.

As a result the effective surface area of the antenna is small, which is detrimental to its range. Also, the card in accordance with this document cannot be produced in economic manner. It is known that the size of an elementary semiconductor pad is one of the 25 main cost factors for mass produced integrated circuits. In this document, however, the minimum size of the integrated circuit incorporating the antenna is of about at least 24 mm<sup>2</sup>, whereas cheap contactless cards generally use microcircuits of very small size, 30 of about 1 mm<sup>2</sup>.

A plurality of other processes for making contactless cards are also known, such as those described in French patent applications made by the same applicant and filed under numbers 95 400305.9, 95 5 400365.3 and 95 400790.2. These patent applications all describe a contactless card provided with an antenna whose size is substantially the same as that of the card and is connected to a micromodule carrying the chip.

10 Such antenna has the advantage of having a relatively high range for a given reading or writing magnetic field. The equation which determines the electromotive force  $E$  appearing at the terminals of the receiver antenna when it breaks an electromagnetic 15 field is of the following type :

$$(1) \quad E_r = l_e (K_e S_e N_e) . (K_r S_r N_r) / D^3$$

20 in which  $K$  is a constant,  $S$  is the surface area of an average turn of antenna,  $N$  is the number of turns coiled to form the antenna, indices  $e$  and  $r$  represent the emitting and receiver sides respectively, and  $D$  is the reading distance, i.e. the distance between the card antenna and the antenna of the outside reader.

25 To cause the circuits of the card chip to operate in order to initialize and conduct a reading operation, voltage  $E$  must be exceed a certain threshold, which is generally in the region of 3 Volts.

It will therefore be seen that for a given reading or writing distance D that it is sought to achieve with the contactless card, the surface area of the average turn and/or the number N of antenna turns 5 needs to be increased on the reading and/or writing side.

The efficiency of the antenna, at the chosen frequency for reading or writing, will be determined by the overvoltage coefficient of the antenna coil which 10 is given by the equation :

$$(2) \quad Q = L\omega/R$$

in which L is the coil inductance which increases with 15 coil diameter and the number of turns,  $\omega = 2\pi f$  in which f is the reading frequency which is fixed for a given application, and R is the electric resistance of the antenna coil, which is proportional to the length of wire of which it is formed.

20 Since L and R have contrary effects on the efficiency of the antenna, they tend to offset one another so that the true efficiency factor of the antenna is especially related to the total surface area SN of the antenna.

25 For a given planar coil size, the number N of turns is limited by the width of a turn and the space between two turns which depend upon the technology used for fabrication.

It is therefore seen that, all other things being equal, the natural tendency to obtain a good antenna for a contactless card, which has been widely used in practice, is to use on the contactless card an 5 antenna in which the size of each turn is as close as possible to the surface of the card. This is why the contactless cards on the market comprise an antenna integrated into the body of the card close to its periphery.

10 But, as experience in the manufacture of such contactless cards has shown, this choice also leads to a certain number of disadvantages.

Handling an antenna of this size for its integration into the card and its electric connection 15 to the electronic module raises serious technical problems (as in the previously mentioned case of electronic labels).

Despite the techniques used, card and antenna assembly often remain complex and costly since the 20 electronic module and antenna coil must be connected by means that are difficult to automate. Further, the assembly undergoes lamination which is a costly process requiring the addition of resin to sink the coil and module in the card in such manner that they do not 25 appear on the surface of the card and do not deform the upper and lower sheets used for colamination.

Also, the complexity of the process does not give yields comparable with those achieved for the manufacture of contact cards. This is especially so 30 when integrating the restraints required for certain

types of card printing and the possible existence of a magnetic stripe or embossing. For certain types of card printing or to make a magnetic stripe on the card, the latter must have virtually perfect planarity with 5 defects of less than 6  $\mu\text{m}$ . For embossing, materials need to be chosen which are compatible with the card manufacturing process and the antenna must, in particular, leave free the area provided for embossing otherwise it would be damaged during embossing.

10 Given all these disadvantages connected with current manufacturing methods for contactless cards and electronic labels, which chiefly result in high manufacturing costs, the applicant's engineers set out to determine new processes for manufacturing 15 contactless cards and labels able to avoid all the above-mentioned disadvantages.

More precisely, the purpose of the present invention is to make available non-expensive means which may be used for the manufacture of portable 20 objects of chip card and/or electronic label type.

Another objective of the invention is to provide low-cost manufacturing processes for contactless cards and labels allowing reliable, quality manufacture using automated machines.

25 A further objective of the invention is to describe a manufacturing process which can be used to obtain perfectly planar contactless cards.

An additional objective of the invention is to make available a process for manufacturing contactless 30 cards which is compatible with all subsequent stages of

card body and antenna assembly, in particular with offset card printing, card embossing or the depositing of a magnetic stripe.

For this purpose, the invention sets forth an 5 electronic module of a type that is suitable for producing contactless cards and/or contactless electronic labels, and comprising a carrier substrate to carry an electronic microcircuit, said electronic microcircuit being connectable to an antenna in such 10 manner as to enable contactless operation of the module, characterized in that the antenna is wholly arranged on the module and in that it comprises turns made on the plane of the carrier substrate.

The invention therefore provides a basic part 15 of small size which may be used virtually indifferently for the production of contactless cards of usual format or small-sized electronic labels, regardless of their shape.

According to other advantageous characteristics 20 of the electronic module of the invention :

- the antenna is made up of a spiral whose outer size is in the region of 5 to 15 mm, preferably of about 12 mm, whose ends are connected to contacts of the electronic microcircuit,

25 - the antenna is made up of a conductor spiral having between 6 and 50 turns, each turn having a width of approximately 50 to 300  $\mu\text{m}$ , the space between two contiguous turns being of about 50 to 200  $\mu\text{m}$ .

- the spiral forming the antenna is, for example, of substantially circular outer shape, with an outer diameter of about 5 to 15 mm, preferably of about 12 mm. As variants, said spiral is of substantially 5 square outer shape, with an outer side measurement of approximately 5 to 15 mm, preferably approximately 12 mm, or of substantially oval outer shape having a larger measurement of approximately 15 mm and a smaller measurement of approximately 5 mm.

10 - the microcircuit is placed in the centre of the antenna and on the same side of the module as the antenna, the connection terminals of the antenna being connected to respective corresponding contact pads of the module or microcircuit via conductor leads. As a 15 variant, the microcircuit is placed on the same side as the antenna astride the latter's turns, the connection terminals of the antenna being connected to respective corresponding contact pads of the module and electronic microcircuit via conductor leads, and an insulator 20 being placed between the microcircuit and at least the underlying area of the antenna. According to another variant of embodiment of the module, the electronic microcircuit is placed on the side of the module which does not carry the antenna, the connection terminals of 25 the antenna being connected to respective corresponding contact pads of the module or microcircuit via conductor leads crossing over pits made in the module carrier at said connection terminals of the antenna.

- on one face of the carrier substrate the 30 electronic module comprises an antenna connected to the microcircuit, and on the other face of the carrier

substrate it comprises visible contact pads that are also connected to the microcircuit in such manner as to obtain a hybrid card able to be read and written on via the contacts and/or the antenna.

5 - a tuning capacitor is connected in parallel to the terminals of the antenna and of the electronic microcircuit, and its value is chosen so as to obtain a module operating frequency situated in a range of approximately 1 Mhz to 450 Mhz. In particular, the  
10 value of the tuning capacitor is in the region of 12 to 180 picoFarad, and the operating frequency of the module is approximately 13.56 Mhz. Alternatively, the value of the tuning capacitor is in the region of 30 to 500 picoFarad, and the operating frequency of the  
15 module is approximately 8.2 Mhz. This tuning capacitor is obtained by depositing oxidized silicon on the surface of the microcircuit previously coated with an insulator.

The invention also relates to a contactless  
20 card and an electronic label comprising a small-sized electronic module with an integrated antenna, in particular of the type described above, and to respective processes for the manufacture of a contactless card and an electronic label of this type.

25 To produce a contactless card of the invention, all that is required is :

- to cut out, from a carrier of electronic modules, a contactless module provided with an antenna and a microcircuit ;

- to bring said module opposite an opening of substantially the same size as the module made in a card body ;

- to attach said module in the opening of the 5 card body ;

To make an electronic label of the invention, it is alternatively sufficient :

- to cut out, from a carrier of electronic modules, a contactless module provided with an antenna 10 and a microcircuit ;

- to integrate the cut-out electronic module into a protective support.

Alternatively, and in even simpler manner, the invention considers using the production lines of 15 contactless cards for the manufacture of electronic labels. For this purpose, an electronic module needs only to be cut out from a contactless card such as described above, in such manner as to leave around the electronic module some card body substance for the 20 purposes of protecting the module. This technique may be completed by cutting out another part of the same shape, for example from the same card, then fixing this part against the first in such manner as to surround and protect the module.

25 The invention will be better understood with reference to the following description given as a non-restrictive example and to the appended drawings in which :

- figure 1 shows a contactless card of the state of the art ;
- figure 2 shows a contactless card of the invention ;
- 5 - figure 3 shows a strip used for the continuous manufacture of electronic modules of the invention, intended for use in contactless cards or electronic labels of the invention, and a card intended to house the module ;
- 10 - figures 4A to 4G show several variants of embodiment of an electronic module of the invention, able or intended to be incorporated into the body of a contactless card or into an electronic label ;
- 15 - figures 5a to 5D show a section view of several variants of embodiment of an electronic module provided with an antenna, according to the invention, and
- figure 6 shows a section view of a module for a contact and contactless hybrid card.
- 20 - figure 7 shows the stages of a manufacturing process for a variant of electronic label using the electronic module of the inventions.

Similar parts are given the same reference numbers in all figures.

25 Reference is made to figure 1 showing a diagram and plan of a contactless card 1 having the type of those actually marketed. As can be seen, antenna 2 in the form of a large-sized coil, slightly smaller than the size of the card, is integrated into the card body

3, and two end of the coil of antenna 2 are connected to supply contacts 4, 5 of an electronic module 6 carrying an integrated microcircuit 7 also called a chip.

5        The coil is shown to scale, except in respect of the number of turns, only four turns being shown. To assemble such coil 2 with card body 3, it is required to conduct complex, costly lamination or injection operations with the above-mentioned disadvantages. With  
10      this kind of antenna it is possible to read card information from a distance of 70 mm onwards at a frequency of a few Mhz.

Unlike the card in figure 1, the general underlying principle of the invention consists of no  
15      longer using the large-sized antennas currently used for contactless cards, in order to overcome the above-mentioned disadvantages. The invention also sets out, in order to reach the desired objectives of reliability and low manufacturing cost, to use certain principles  
20      and the production lines used for the manufacture of contact cards, such manufacture being currently well circumscribed and allowing low manufacturing costs to be obtained.

A diagram of the solution put forward is shown  
25      by the card 1 in figure 2. It consists of using a particular module 6 of chip card combining on one same small-sized carrier the electronic functions of conventional chip modules, and the function of transmitting/receiving antenna for contactless  
30      transmission of information between the card and an outside reading/writing device (not shown).

The size of module 6 is compatible with known manufacturing processes used to manufacture contact cards, in its thickness and plane, length and width.

Obviously, for a module provided with an antenna to be feasible, the size of the antenna obtained must, contrary to the teaching of the state of the art, be compatible with the size of the module, while maintaining a number of turns able to assure electromagnetic transmission at sufficient distance, in the region of a few centimetres. For this purpose, the antenna is made in the form of a spiral made up of a group of turns lying directly on the carrier substrate and substantially in the same plane, which excludes air coils as taught by some documents of the prior art mentioned above.

In order to best adapt to the shape of the module and to available surface area, the antenna may have an outer turn of substantially square, rectangular, circular or oval shape or any other suitable shape. The two ends of the antenna are connected to the supply terminals of an integrated circuit, in particular a memory and/or microprocessor, also positioned on the module as shown under reference 7 in the diagram in figure 2 but shown in more detail in figures 4 to 6.

With reference to figure 3, illustrating the separation of an electronic module of the invention from a strip 8 comprising a plurality of modules 6 placed for example in two rows. The fabrication of conventional electronic modules on such strips is well

known as such in the sphere of contact card production and will not therefore be further described.

A module 6 of the invention, for example of the type comprising an integrated circuit 7 « astride» the 5 turns of an antenna 2 in square spiral shape, is detached from strip 8 by a cutting process, for example mechanical cutting. The cut-out module is taken by automated means that are not shown but are known, and is brought preferably with the reverse side facing 10 upwards (integrated circuit and antenna facing the bottom of the opening of the card body) opposite a blind opening 9 made in the card body 3 of a contactless chip card 1. Attachment of module 6 in opening 9 provided is made by gluing, welding or any 15 other appropriate means.

The result is a contactless card according to the invention provided with an antenna 2 positioned at electronic module level 6 and whose fabrication is chiefly limited to the stages which have just been 20 described, followed, obviously, by any usual printing and personalisation stages.

Figures 4A to 4G show in more detail several module variants intended to be integrated into cards for the production of contactless cards, or to be 25 integrated into a support of a different form to the card, for example to manufacture electronic labels.

A module 6 is made up of a conventional carrier substrate 10 (in relatively flexible film, mylar, epoxy or capton) on which is added not a coil but an antenna 30 pattern 2 which may be made in several manners as will

be explained below. Antenna 2 is, for example, made by stamping from a copper sheet followed by assembly of the stamped sheet with the carrier substrate. Carrier substrate 10 and antenna 2 are possibly assembled in 5 precise manner, using known substrate guiding and positioning means.

Antenna 2 may also be obtained by photochemical etching of the antenna pattern, or by depositing metallic matter on a flexible film forming substrate 10. The choice of a suitable carrier substrate 10 has consequences on the thickness of the module and is chiefly dependent upon the intended use of the module. This choice is fully within the scope of men of the art.

15 In one variant put forward for electronic module 6, antenna 2 is made up of a copper stripe approximately 15  $\mu\text{m}$  to 70  $\mu\text{m}$  thick, made in spiral form, with spaces between the turns of the same size. Ends 11, 12 of this spiral are preferably widened so as 20 to form contact pads for connection with microcircuit 7.

Provision is made for several variants in the respective positioning of the microcircuit and antenna. Under a first embodiment of the module 6 that is 25 practical and uses little space (figure 4A) chip 7 is glued in the middle of antenna 2. Figure 4B also shows connecting conductor leads 13, 14 to connect a respective terminal of chip 7 to a corresponding respective end 11, 12 of the antenna. For this purpose 30 a lead 15 needs to be passed above the antenna lines.

For this purpose an insulator 16 is previously deposited, in particular by screen processing between the corresponding stripe zone and connector lead 15.

Under another embodiment of module 6 (figure 5 4C) antenna 2 takes up the whole side of the module and has no free space in its centre. In this case, the invention provides for gluing microcircuit 7 either onto the module face with no antenna or onto the same face as the antenna (figure 4D), after placing an 10 insulator (dark part 16) between antenna 2 and microcircuit 7.

Figure 4E shows a variant of electronic module 6, in which antenna 2 has a round spiral shape, microcircuit 7 being positioned over the plane of the 15 turns with interpositioning of an insulator 16. With this configuration it is possible to minimize the length of connection leads between the antenna and the microcircuit.

Figure 4F illustrates an additional variant of 20 module 6 of the invention, particularly adapted to cases in which an elongated or rectangular module is required. In this case, the pattern of antenna 2 has a substantially oblong spiral shape, microcircuit is preferably located in the centre of the antenna and the 25 connections between the terminals of the microcircuit and the coil pads are made as described for figure 4B.

It is to be noted that the connection between the chip pads and the contact terminals of the antenna may be made using a conventional connection technique 30 for conductor leads, such as for example so-called

« bonding » consisting of conductor leads welded between a pad of the microcircuit and a respective terminal of the antenna, or using the so-called « flip-chip » technique consisting of adding the microcircuit 5 onto the module substrate 10 with the face carrying the antenna and microcircuit glued onto the substrate. Resin protection of the contacts is then achieved using conventional processes for producing contact chip cards.

10           Figure 4G gives a more detailed view of an electronic module 6 of the invention, on which a tuning capacitor 17 has been fabricated astride the antenna turns, by depositing on top of insulating layer 16 (shaded part). In order to connect the capacitor in 15 parallel between terminals 11, 12 of antenna 2 and pads 13, 14 of the microcircuit, a terminal 18 of capacitor 17 is connected to terminal 12 and pad 14, and the other terminal 19 of capacitor 17 is connected to terminal 11 and pad 13 via intermediate pads 20, 21 20 connected by an intermediate connection 22 located between intermediate pads 20, 21 and made over insulating layer 16 in such manner as not to short-circuit the antenna turns.

Obviously, other provisions of tuning capacitor 25 17 are possible. In particular, it can be integrated onto microcircuit 17 itself, at the design stage of the latter, which will reduce the number of manufacturing stages of module 6.

30           The antenna pattern is determined so that it will allow operation at high frequency, in the Mhz region, the value of tuning capacitor 17 being chosen

to obtain a determined operating frequency of antenna 2 within a high frequency range of approximately 1 Mhz to 450 Mhz. In one example of embodiment, allowing a usual operating frequency of approximately 13.56 Mhz to be 5 obtained, the value of tuning capacitor 17 is in the region of 12 to 180 picoFarad. In another variant allowing operation at 8.2 Mhz, the value of the tuning capacitor is in the region of 30 to 500 picoFarad.

Figures 5 and 6 show various embodiments of 10 module 6, shown in section view. In figure 5 a metal grid has been used as an antenna that is cut out and then glued onto carrier substrate 10. Mechanical cutting of a spiral antenna is suitable for stripe widths that are not too fine, currently in the region 15 of at least 300  $\mu$ m.

In figure 5A, microcircuit 7 and antenna 2 are situated on the two opposite faces of carrier substrate 10, contact terminals 11, 12 of antenna 2 being connected to pads of the microcircuit (not shown) via 20 connection leads 15 brought through pits 23 made in carrier 10.

In figure 5B, microcircuit 7 is on the same side as antenna 2 and is deposited over its turns with interpositioning of an insulator 16. In figure 5C, 25 microcircuit 7 is placed in a cavity 25 made for this purpose in carrier 10 of the module, which allows the thickness of the module unit 6 to be reduced. In figure 5D, microcircuit 7 is simply glued in the centre of antenna 2, as is also shown in figures 4A, 4B. In all 30 cases, the whole of the antenna is positioned on

carrier substrate 10 forming part of the module, and the microcircuit is added onto this substrate and antenna structure.

It is to be noted that in order to further 5 reduce the thickness of module 6, it is possible to use for antenna 2, instead of a cut-out metal grid, a grid that is etched in or deposited by metallisation or otherwise on a suitable carrier substrate 10.

Figure 6, which is a top section view of 10 another embodiment of electronic module 6, in order to obtain a hybrid contact and contactless module, particularly adapted to the manufacture of hybrid cards. In this module, microcircuit 7 and the antenna are placed on a first face of carrier substrate 10 of 15 the module, as already described in respect of figure 5. Also, contacts 26, identical to contacts for contact cards, are connected to corresponding pads of the microcircuit by conductor leads 27. The microcircuit can communicate with the outside using contacts 26 or 20 antenna 2 depending upon the outside signal applied. All the effective components for the operation of the hybrid card, including antenna 2, are therefore arranged on a hybrid module 6 of small size, able to be inserted, i.e. to be incorporated into a card body.

25 Advantageously, two modules 6 of the one of the above-described types, may be made side by side in the width of a standard film 10 (i.e. 35 mm), but other arrangements of module 6 on a carrier strip 8 come within the limits of the invention. Each module 6 may 30 then be transferred onto a card body 3 of standard ISO format using conventional processes for transferring

modules to card bodies, such as used for the production of contact cards.

Alternatively, modules 6 may be used for the production of electronic labels, of the type used for 5 object identification. If necessary, after being cut from carrier strip 8, modules 6 are protected by a protective resin coating or any other suitable material, allowing small-sized labels to be obtained at low cost. Obviously, the modules may also be integrated 10 or fixed onto different or more voluminous supports (keys, packaging, etc.) in relation to the intended application.

With reference to figure 7. As an interesting variant of the label manufacturing process, in order to 15 take advantage of scale savings and production lines for contact cards, while nevertheless using modules 6 of the invention, it is also possible to produce electronic labels using a process which consists solely of the above-described cutting-out stage of electronic 20 module 6 from a contactless card 1 incorporating such module, in such manner as to leave only some card body substance around electronic module 6 for the purpose of protecting module 6. In this way, from mass-produced contactless cards of the invention it is easy to obtain 25 electronic labels having the thickness of a card but with much more reliable plane measurements.

In this case, however, a major disadvantage becomes apparent for certain applications : when simply cut out from a contactless card, the label is only 30 protected on one side by the very minimal protection provided on one side of the cavity by a veil of plastic

matter a hundred or so micrometers thick, whereas the other face of the module is exposed. To remedy this drawback, it is possible in judicious manner, as shown in figure 7(a), to cut out from one part of card 1  
5 which at all events would be discarded, a first part 28 having the size of the planned label format. Then, as shown in figure 7(b), this part 28 is transferred onto the face of the label on which module 6 is visible and non-protected. Part 28 is mounted on card 1 as shown in  
10 figure 7(d) using any appropriate technique, in particular by gluing or ultrasound welding. Finally, as shown in figure 7(e) a part 29, is cut out from the same card having the same size and at the same level as part 28. In this way a symmetrical electronic label can  
15 be obtained at low cost, of which an enlarged diagram is given in figure 7(f). Obviously parts 28 and 29 could be cut out separately and subsequently assembled.

Such label 30 incorporates a module 6 protected on both sides, and may be graphically personalized on  
20 both sides to produce labels that can be used in games or any other applications.

In respect of the performance of the modules, cards and labels of the invention, theoretical and practical results show that on module 6 a performing  
25 antenna is obtained able to operate over the frequency range of 1 to 450 Mhz.

In order to obtain required connection performances for a given application, only a sufficiently high modulation frequency needs to be used  
30 (for example 13.56 Mhz) to obtain acceptable performances at reading/writing distance.

If, for example, the frequency of 13.56 Mhz is considered, which is frequently used in electronic cash dispensing applications, the performances obtained are fully remarkable. A chip 7 mounted using this process 5 and associated with antenna 2, can currently achieve an operating distance of approximately 50 mm whereas the same chip mounted with a conventional coil of card size can reach approximately 70 to 75 mm. This difference is not critical for most currently considered contactless 10 applications. Also, this performance may be substantially improved by working on transmission circuits and the recovery of electromagnetic energy of the module and outside readers.

According to one practical embodiment, the 15 module size is in the region of 12 mm x 12 mm, but it is possible to consider slightly larger, elongated formats to increase performance, or even optimising the reader antenna or even the chip antenna itself in respect of its consumption to improve performance and 20 attain that of a larger antenna.

With the concept of the invention, if module 6 is used to manufacture cards, card integrity is maintained throughout the entire production process. Consequently, the card body may easily be used in 25 conventional manner to house a magnetic stripe. Also, it may be printed using any existing processes with no particular restraints other than those that are known for the manufacture of a conventional card.

Also, the choice of material for the card body 30 is in no way restricted : it can be adapted to the needs of various applications given consideration.

Consequently, the invention simultaneously solves all the above-described disadvantages connected with the production of contactless modules for contactless cards, in particular in respect of cost, 5 space requirement, printing, compatibility with embossing or the insertion of a magnetic stripe. The small size of the antenna brings comparable advantages for the production of electronic labels, that are not dependent upon the shape of a card body.

10 The economic advantage of the invention is undeniable ; it enables the same production lines to be used for the production of electronic modules with integrated antennae, and of operational, contactless electronic labels and cards at a fraction of the 15 current cost of processes used to produce contactless cards or labels, at every stage of their manufacture.

Other subsidiary advantages related to the module, the contactless label and card of the invention and to their manufacturing processes lie in the fact 20 that no antenna coil handling, no tin welding, no precise positioning of the coil and no printing before insertion are required.

CLAIMS

1. Electronic module (6) suitable for producing contactless cards (1) and/or contactless electronic labels, comprising a carrier substrate (10) for an electronic microcircuit (7), said electronic microcircuit (7) being connectable to an antenna (2) to enable contactless operation of the module (6), characterized in that the whole of antenna (2) is arranged on the module and in that it comprises turns made in the plane of the carrier substrate (10).  
10 2. Electronic module (6) in accordance with claim 1, characterized in that said antenna (2) is made up of a spiral whose outer size is in the region of 5 to 15 mm, preferably in the region of 12 mm, whose end terminals (11,12) are connected to contact pads (13,14) of the electronic microcircuit (7).  
15 3. Electronic module (6) in accordance with claim 2, characterized in that antenna (2) is made up of a conductor spiral comprising between approximately 6 and approximately 50 turns, the width of each turn being of about 50 to 300  $\mu$ m, the space between two contiguous turns being in the region of 50 to 200  $\mu$ m.  
20 4. Electronic module (6) in accordance with claim 3, characterized in that the outer shape of said spiral is substantially circular, having an outer diameter in the region of 5 to 15 mm, preferably approximately 12 mm.  
25

5. Electronic module (6) in accordance with  
claim 3, characterized in that the outer shape of said  
spiral is substantially square, with an outer side  
measurement in the region of 5 to 15 mm, preferably  
5 approximately 12 mm.

6. Electronic module (6) in accordance with  
claim 3, characterized in that the outer shape of said  
spiral is substantially oval, having a larger  
measurement of approximately 15 mm and a smaller  
10 measurement of approximately 5 mm.

7. Electronic module (6) in accordance with any  
of the preceding claims, characterized in that the  
microcircuit (7) is placed in the centre of antenna (2)  
and on the same side of module (6) as the antenna, the  
15 connection terminals (11,12) of the antenna being  
connected to corresponding, respective contact pads  
(13,14) of module (6) or of microcircuit (7) via  
conductor leads (15).

8. Electronic module (6) in accordance with any  
20 of claims 1 to 6, characterized in that the  
microcircuit (7) is placed on the same side as antenna  
(2) astride its turns, the connection terminals (11,12)  
of the antenna being connected to corresponding,  
respective contact pads (13,14) of module (6) and of  
25 electronic microcircuit (7) via conductor leads (15),  
an insulator (16) being placed between the microcircuit  
(7) and at least the antenna zone under the  
microcircuit.

9. Electronic module (6) in accordance with any  
30 of claims 1 to 6, characterized in that the electronic

microcircuit (7) is placed on the side of the module (6) with no antenna, the connection terminals (11,12) of the antenna being connected to corresponding, respective contact pads (13,14) of the module (6) or of 5 microcircuit (7) via conductor leads (15) crossing over wells (23) made in carrier substrate (10) of the module at the level of said connection terminals (11,12) of the antenna.

10. Electronic module (6) in accordance with any 10 of the preceding claims, characterized in that a tuning capacitor (17) is connected in parallel to terminals (11, 12) of the antenna to contact pads (13,14) of the electronic microcircuit (7), the value of capacitor (17) being chosen to obtain an operating frequency for 15 module (6) in the range of approximately 1 Mhz to 450 Mhz.

11. Electronic module (6) in accordance with claim 10, characterized in that the value of tuning capacitor (17) is in the region of 12 to 180 picoFarad, 20 and in that the operating frequency of the module is approximately 13.56 Mhz.

12. Electronic module (6) in accordance with claim 10, characterized in that the value of tuning capacitor (17) is in the region of 30 to 500 picoFarad, 25 and in that the operating frequency of the module is approximately 8.2 Mhz.

13. Electronic module (6) in accordance with any of claims 10 to 12, characterized in that the tuning capacitor (17) is obtained by depositing oxidized

silicon on the surface of the microcircuit (7) previously coated with an insulator (16).

14. Electronic module (6) in accordance with any of the preceding claims, characterized in that it  
5 comprises on one face of carrier (10) an antenna (2) connected to microcircuit (7), and on the other face of carrier (10) visible contacts (26) also connected to microcircuit (7), in such manner as to obtain a hybrid card able to be read and written on via contacts (26)  
10 and/or antenna (2).

15. Process for manufacturing an electronic module (6) in accordance with any of the preceding claims, characterized in that it comprises stages consisting of :

15 - on a substrate carrier (10) making a plane spiral antenna (2) of small size provided with connection terminals (11,12) ;

20 - fixing on said carrier (10) or said antenna (2) a microcircuit (7) provided with contact pads (13,14) ;

- making the electric connection between connection terminals (11,12) of antenna (2) and corresponding contact pads (13,14) of the microcircuit ;

25 16. Contactless card (1), comprising a card body (3), an electronic module (6), carrying an integrated microcircuit (7) able to be incorporated into a card body (3), and an antenna (2), characterized in that the size of said antenna (2) is substantially smaller than  
30 the size of card (1), and in that antenna (2) is a

substantially plane spiral antenna wholly arranged on carrier substrate (10) of electronic module (6).

17. Contactless card (1) in accordance with claim 16, characterized in that it is provided with an 5 electronic module (6) in accordance with any of claims 1 to 14.

18. Process for manufacturing a contactless card (1) in accordance with claim 16 or claim 17, characterized in that it comprises stages consisting 10 of :

- cutting out, from a carrier (8) of electronic modules, a contactless module (6) provided with an antenna (2) and a microcircuit (7) ;

- bringing said module (6) opposite an opening 15 (9) of substantially the same size as the module, made in card body (3) ;

- fixing said module in the opening of the card body.

19. Electronic label, especially intended for 20 object identification, characterized in that it comprises an electronic module (6) of small size, its largest measurement being in the region of 5 to 15 mm, and an electronic microcircuit (7), characterized in that it comprises an antenna (2) also of small size 25 arranged on said electronic module.

20. Electronic label, characterized in that it is provided with an electronic module (6) in accordance with any of claims 1 to 13.

21. Electronic label in accordance with claim 19 or claim 20, characterized in that the electronic module (6) provided with its microcircuit (7) and its antenna (2) is fixed on or integrated in a carrier, so 5 that the label may be made part of an object to be identified.

22. Process for manufacturing an electronic label in accordance with any of claims 19 to 21, characterized in that it comprises stages consisting 10 of :

- out of a carrier (8) of electronic modules (6) cutting out a contactless module (6) provided with an antenna (2) and a microcircuit (7) ;
- integrating said cut-out electronic module 15 into a protective support.

23. Process for manufacturing an electronic label, characterized in that it comprises solely the stage consisting of cutting out an electronic module (6), in accordance with any of claims 1 to 14, from a contactless card (1) incorporating such module, in such 20 manner as to leave some substance of card body (3) around the electronic module (6), for the purpose of protecting the module.

24. Process for manufacturing an electronic label, characterized in that it subsequently comprises 25 the stages consisting of :

- cutting out from a contactless card (1) a first element (28) incorporating an electronic module (6) in accordance with any of claims 1 to 14, to a

given shape so as to leave substance around the module ;

- cutting out from a card, preferably the same contactless card (1), a second element (29) having the  
5 same shape as said first element ;

- assembling said first and second elements (28,29) in such manner that electronic module (6) is incorporated between said elements and protected by the latter.

REDACTED

ABSTRACTCONTACTLESS ELECTRONIC MODULEFOR A CARD OR LABEL

An electronic module (6) suitable for producing contactless cards (1) and/or contactless electronic labels, and comprising a carrier (10) for an electronic microcircuit (7) connectable to an antenna (2) to  
5 enable contactless operation of the module (6). The whole of the antenna (2) is arranged on the electronic module (6) and the turns lie in the plane of the carrier substrate (10). Said electronic module (6) is useful for producing contactless cards and electronic  
10 labels.

Figure 1

## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER

G-31

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Contactless Electronic Module for a Card or Label

the specification of which (check only one item below):

is attached hereto.

was filed as United States application

Serial No. \_\_\_\_\_

on \_\_\_\_\_,

and was amended

on \_\_\_\_\_ (if applicable).

was filed as PCT international application

Number PCT/FR97/00098on Jan. 17, 1997,

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

## PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
FRANCE	96/00889	17 Jan. 1996	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
PCT	PCT/FR97/00098	17 Jan. 1997	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

G-31

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
DATE	DATE	DATE

## Combined Declaration For Patent Application and Power of Attorney (Continued)

(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER

G-31

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

## PRIORITY U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

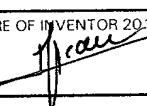
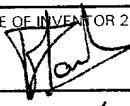
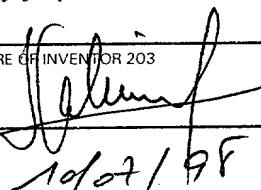
U.S. APPLICATIONS		STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		
PCT/FR97/00098	17 Jan. 1997			

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
		
DATE <u>10/07/98</u>	DATE <u>10/07/98</u>	DATE <u>10/07/98</u>